U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	7285.US.01	
First Inventor	Bruce A. Beutel	
Title	See 1 in Addendum	9 0
Express Mail Label No.	EV314258416US	.s. 1

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450						
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification [Total Pages 33] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s))						
4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 14] 5. Oath or Declaration [Total Sheets 3] a. X Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76	10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney English Translation Document (if applicable) 12. X Information Disclosure X Copies of IDS Statement (IDS)/PTO 1449 Citations 13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. X Other: Express Mail Certificate						
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:							
Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS							
X Customer Number: 23492	OR Correspondence address below						
Name							
Address							
City	State Zip Code						
Country	elephone Fax						
Name (Print/Type) Gabryleda Ferrari-Dileo, Signature July L. W. C.	Registration No. (Attorney/Agent) P55174 Date 02/10/04						

onder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Addendum

1.	METHOD FOR IDENTIFICATION OF THE LOCATION OF MUTATIONS IN WHOLE
	GENOMES

Complete if Known

(37 ČFR 1.129(a))

385 For each additional invention to be examined (37 CFR 1.129(b))

385 Request for Continued Examination (RCE) 900 Request for expedited examination of a design application

SUBTOTAL (3) (\$)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EEE TRANSMITTAL

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SUBTOTAL (2)

Independent claims in excess of 3

** Reissue independent claims

and over original patent

** Reissue claims in excess of 20

(\$)

over original patent

Multiple dependent claim, if not paid

			┗▐	Application Number		Numbe	er	
for EV 2004				Filing Date			February 10, 2004	
for FY 2004				First Named Inventor		Inver	ntor Bruce A. Beutel	
Effective 10/01/2003. Patent fees are subject to annual revision.				Exam	iner N	ame		
Applicat	nt claims sm	all entity status. S	See 37 CFR 1.27		Art Ur	nit		
TOTAL AN	MOUNT OF	PAYMENT	(\$) 842.00		Attorney Docket No. 7285.US.01		No. 7285.US.01	
METH	IOD OF PA	YMENT (check	all that apply)		•		FEE	E CALCULATION (continued)
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Account Number		01-0025		1051	130	2051	• • •	Surcharge - late filing fee or oath
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		to: (check all that a		1053	130 2,520	1053 1812		Non-English specification For filing a request for ex parte reexamination
X Charge fee			dit any overpayments	1804	920*	1804	_,	Requesting publication of SIR prior to
X Charge any additional fee(s) or any underpayment of fee(s)			1004	520	1004	320	Examiner action	
Charge fee(s) indicated below, except for the filing fee			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-id				1251	110	2251	55	Extension for reply within first month
		CALCULATION	1	1252	420	2252	210	
1. BASIC FI				1253	950	2253		Extension for reply within third month
Fee Fee	Fee Fee	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month
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1001 770 1002 340	2001 385 2002 170	Utility filing fee Design filing fee	770.00	1401	330	2401		Notice of Appeal
1002 540	2002 170	Plant filing fee	'	1402	330	2402		Filing brief in support of an appeal
1003 530	2003 203	Reissue filing fe		1403	290	2403		Request for oral hearing
1004 770	2005 80	Provisional filing	1	1451		1451		Petition to institute a public use proceeding
		ř		1452	110	2452		Petition to revive - unavoidable
		SUBTOTAL (1)		1453	1,330	2453	665	Petition to revive - unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1,330	2501		Utility issue fee (or reissue)		
		Ext <u>ra Claim</u> s	Fee from Fee Paid	1502	480	2502		Design issue fee
Total Claims		20** = 4 ×	18 = 72	1503	640	2503	320	Plant issue fee
Independent 3 - 3** = 0 X = 0 Multiple Dependent			1460	130	1460	130	Petitions to the Commissioner	
			1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Small Enti		tion	1806	180	1806	180	Submission of Information Disclosure Stmt
Code (\$)	Code (\$)			8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1202 18	2202	9 Claims in exce	SS 01 20	1809	770	2809	385	Filing a submission after final rejection

**or number previously paid, if greater; For Reissues, see above		Reduced by Basic Filling Fee Faid	SUBTOTAL (3) (\$)	
SUBMITTED BY			(Complete (if applicable)	
Name (Print/Type)	Gabryleda Ferrari-Dileo	Registration No. (Attorney/Agent) P55174	Telephone 847-935-7835	
Signature	phylodic	-	Date 02/10/04	

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Other fee (specify)

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WAŔNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADMARK OFFICE

Applicants: Bruce A. Beutel, et al.

Group Art No.: (not yet assigned)

Serial No.: (not yet assigned)

Examiner: (not yet assigned)

Filed: February 10, 2004

For: METHOD FOR IDENTIFICATION OF THE LOCATION OF MUTATIONS IN

WHOLE GENOMES

Attorney Docket No.: 7285.US.01

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EV314258416US

Date of Deposit: February 10, 2004

I hereby state that the following attached papers or fees

- 1. Utility Patent Application Transmittal (2 Pages)
- 2. Fee Transmittal (1 Page) in duplicate'
- 3. Executed Declaration and Power of Attorney (3 Pages)
- 4. Information Disclosure Statement (1 Page)
- 5. Form PTO-1449 (1 Page) in duplicate
- 6. References as cited on Form PTO-1449 (9 References)
- 7. Specification (27 Pages); Claims (5 Pages); Abstract (1 Page); Total: 33 Pages
- 8. Drawings (Figures 1-14) (14 Sheets)
- 9. 2 Return Receipt Postcards

Are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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